

BEFORE THE IOWA BOARD OF BEHAVIORAL HEALTH PROFESSIONALS

IN THE MATTER OF:

JAMIE L. ROGGENBAUER

RESPONDENT.

Case No.: 24-0109

DIAL No.:25DBBH0006

SETTLEMENT AGREEMENT AND  
FINAL ORDER

COMES NOW the Iowa Board of Behavioral Health Professionals (“Board”) and Jamie Roggenbauer (“Respondent”) and enter into this combined Statement of Charges and Settlement Agreement and Final Order (“Settlement Agreement”) pursuant to Iowa Code section 17A.10(2), 272C.3(4), and chapter 154C, and 645 Iowa Administrative Code (“IAC”) chapters 13 and 238.

1. **Iowa License.** Respondent was issued Iowa Social Worker Masters level license #093349 on September 11, 2018. At all times relevant to the matters concerned herein Respondent’s license was active. Respondent’s license next expires on December 31, 2026.
2. **Jurisdiction.** The Board has jurisdiction in this matter pursuant to Iowa Code sections 147.55, 154C, 272C.3(1)(f), and 272C.3(2).

**A. BACKGROUND**

3. **Practice Setting.** Respondent practiced in Rock Island, Illinois during the time-period relevant to these allegations. Respondent currently lives in the state of Iowa.
4. **Statement of Charges.** The Board found probable cause to charge Respondent with two counts of violating the administrative rules governing the practice of social work on or about March 25, 2025. After the Notice of Hearing and Statement of Charges was issued and served upon Respondent, the State filed a motion to amend the Statement of Charges to correct the administrative rules cited in the charging document. The motion remains

pending before the Board. Notwithstanding, the State and Respondent hereby incorporate the corrected administrative rules for the purposes of settling this matter. Accordingly, the State and Respondent agree Respondent was charged with:

**AMENDED COUNT I:** Respondent is charged with knowingly making misleading, deceptive, untrue or fraudulent representations in the practice of social work or engaging in unethical conduct or practice harmful or detrimental to the public for attempting to or actually practicing social work while intoxicated or under the influence of alcohol and interfering with her previous employer's information technology system, in violation of Iowa Administrative Code rule 645-283.2(3).

5. **Allegations.** Respondent provided counseling services at a children's advocacy center in Rock Island, Illinois from January 2022 until March 19, 2024. On or about March 19, 2024, Respondent's coworkers observed her to be "chatty," animated, and unsteady, a departure from her typical demeanor. Respondent refused chemical testing for intoxication and was subsequently terminated. Respondent then changed the password to the center's therapy documentation system, which locked other part-time providers out of the database. Respondent denies the allegations but enters this agreement to avoid the uncertainty and expense of a contested case hearing.

## **B. SETTLEMENT AGREEMENT**

6. Respondent denies wrongdoing but acknowledges that the allegations in the Statement of Charges, if proven in a contested case hearing, would constitute grounds for the discipline agreed to in this Settlement Agreement.
7. To resolve this matter without proceeding to hearing, Respondent agrees to the following discipline:
  - a. Probation and Practice Monitoring. Respondent's Iowa license to practice social

work is placed on **PROBATION** for a period of **24 MONTHS** from the date this Settlement Agreement is approved by the Board. Respondent's probationary term is subject to the following conditions:

- i. **Practice Restriction.** Respondent shall not practice outside of an agency or clinic setting.
- ii. **Practice Monitor.** Respondent shall submit the name and credentials of a proposed practice monitor within **30 DAYS** of the date this Settlement Agreement is entered by the Board if she works with clients using her Iowa license. The proposed practice monitor must be a licensed mental health provider in Iowa with no disciplinary history and have at least 5 years of experience. The practice monitor may not work at the same organization/business as Respondent. After receiving approval from the Board's Executive, who may consult with one or more Board members when considering whether to approve the proposed practice monitor, Respondent shall meet with the practice mentor to discuss this Settlement Agreement and the circumstances that led to discipline. Respondent and practice mentor shall also discuss establishing and maintaining boundaries with clients and other relevant topics. Following this meeting, Respondent shall submit a narrative report to the Board describing the conversation, the best practices discussed, and Respondent's plans to implement best practices moving forward. Respondent shall submit this report no later than **90 DAYS** following the date this Settlement Agreement is entered by the Board.

- iii. After the initial meeting with the practice monitor, Respondent shall meet with the practice monitor on no less than a quarterly basis. Respondent and the practice mentor shall discuss Respondent's progress on implementing the best practices previously discussed and any barriers or challenges that have arisen. During this meeting, the practice mentor shall also review a random sample of Respondent's progress notes for client appointments and communications with clients during each meeting to identify concerns. The monitor will discuss any concerns with Respondent (and report to the Board if warranted). The practice monitor may require Respondent to complete readings or other assignments relevant to the facts underlying this case or other practice concerns ahead of scheduled meetings. Upon receipt of a report from Respondent's practice mentor indicating concerns with Respondent's practice or recommending future monitoring or practice restrictions, the Board may open a new complaint.
- iv. Respondent shall ensure the practice monitor submits a **QUARTERLY** written report to the Board regarding the clinical practice review and confirming Respondent's compliance with this Settlement Agreement. The practice monitor's reports are due August 1, 2025, November 1, 2025, February 1, 2026, and May 1, 2026 and the corresponding dates in 2026-2027.
- v. Respondent shall submit a **QUARTERLY** written report providing a short overview of the work done with her practice monitor. Reports are due

August 1, 2025, November 1, 2025, February 1, 2026, and May 1, 2026 and the corresponding dates in 2026-2027.

vi. All quarterly reports made to the Board by Respondent and the practice monitor are confidential and not part of this Settlement Agreement.

vii. Respondent shall be responsible for any costs associated with the practice monitor.

viii. Respondent shall appear before the Board upon reasonable notice.

ix. Upon receipt of this Settlement Agreement, Respondent shall immediately notify all current employers of this Settlement Agreement. Respondent will notify any future employers of this Settlement Agreement immediately upon hiring.

x. Termination of probation is subject to approval of the Board upon the Board's finding that Respondent has complied with the terms of this Settlement Agreement to the Board's satisfaction.

8. In entering this Settlement Agreement, Respondent acknowledges the following:

- a. This Settlement Agreement is subject to the approval of the Board and will have no force or effect if it is not accepted by the Board.
- b. Counsel for the State may present this Settlement Agreement to the Board *ex parte*.
- c. I have the right to be represented by counsel in this matter.
- d. I understand I have an opportunity to be heard and to contest the allegations against me in a formal hearing before the Board and that, by waiving the formal

hearing, I waive my right to challenge the allegations against me and all attendant rights, including the right to seek judicial review of the Board's actions.

- e. I understand this Settlement Agreement will be part of my permanent licensure file and may be considered by the Board in determining the nature and severity of any disciplinary action to be imposed in the event of any future violations.
  - f. I am voluntarily entering into this Settlement Agreement.
  - g. Failure to comply with the provisions of this Settlement Agreement shall be grounds for further disciplinary action pursuant to Iowa Code § 272C.3(2)(a).
  - h. I understand the Board will report this Settlement Agreement to the National Practitioner Databank. The report the database will reflect the language included in this Settlement Agreement.
  - i. I understand I am responsible for all expenses incurred to comply with the conditions and requirements of this Settlement Agreement.
  - j. The factual circumstances alleged, by virtue of their incorporation in this Settlement Agreement, are now public and available for inspection and copying in accordance with Iowa Code chapters 22 and 272C.
9. Respondent shall submit a copy of this Settlement Agreement to all licensing boards where Respondent holds a license, whether active or not, no later than **THIRTY DAYS** following the date this Settlement Agreement is entered by the Board.
10. This Settlement Agreement constitutes discipline against Respondent and is the final agency action in a contested case pursuant to Iowa Code § 17A.10.
11. This Settlement Agreement shall not preclude the Board from taking additional action against Respondent should Respondent violate laws, rules, or standards of practice

administered by the Board in the future.

12. This Settlement Agreement, when fully executed, is a public record available for inspection and copying in accordance with the requirements of Iowa Code chapters 17A, 22, and 272C.

13. The Board's approval of this Settlement Agreement shall constitute a **FINAL ORDER** of the Board.

### **C. FINAL ORDER**

**WHEREFORE**, the terms of this Settlement Agreement are agreed to by the Iowa Board of Behavioral Health Professionals and the Respondent.

#### **IT IS THEREFORE ORDERED,**

- a. Respondent is **CITED** for violating Iowa Administrative Code rules 645-283.2(3) and 645-283.2(3) and **WARNED** that future violations may result in further disciplinary action.
- b. Respondent shall comply with the terms and conditions her probation and practice monitoring described above in Section B, paragraph 7.
- c. Any documents, notifications, or reports required to be submitted to the Board pursuant to this Settlement Agreement should be mailed to the Iowa Department of Inspections, Appeals and Licensing, Iowa Board of Behavioral Health Professionals Therapy, Division of Professional Licensing and Regulation, Licensing Bureau, 6200 Park Avenue, Suite 100, Des Moines, Iowa 50321, or emailed to [PLPublic@idph.iowa.gov](mailto:PLPublic@idph.iowa.gov).

#### **FOR THE RESPONDENT:**

Voluntarily agreed to and accepted by Jamie Roggenbauer on this 15th day of May, 2025.

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Roggenbauer

  
Jamie Roggenbauer

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**FOR THE IOWA BOARD OF BEHAVIORAL HEALTH PROFESSIONALS:**

Voluntarily agreed to and accepted by the **IOWA BOARD OF BEHAVIORAL HEALTH PROFESSIONALS** on this 27th day of May, 2025.



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Board Chair  
Iowa Board of Behavioral Health Professionals