

FILED

OCT 21 2025

COMMISSION OF INSURANCE
INSURANCE DIVISION OF IOWA

BEFORE THE IOWA INSURANCE COMMISSIONER

IN THE MATTER OF

Division Case No. 120695

WILLIAM JACK BERG,
NPN 7231143
CRD 4249868
DOB 06/13/XXXX**FINAL ORDER**

Respondent.

NOW THEREFORE, the Commissioner takes up for consideration the attached Proposed Default Order of Administrative Law Judge, Thomas J. Augustine of the Iowa Department of Inspections and Appeals shown as filed on October 7, 2025.

IT IS ORDERED that the Commissioner has reviewed the record and adopts Judge Augustine's default order as my own final decision.

IT IS FURTHER ORDERED that William Jack Berg's resident insurance producer license is hereby revoked effective immediately under Iowa Code 522B.11.

IT IS FURTHER ORDERED that the respondent is permanently barred on any Iowa securities registration or other involvement or participation by the respondent in the securities industry- precluding him from applying for or being registered as a securities agent or investment advisor representative. Iowa Code 502.412.

IT IS FURTHER ORDERED that the Respondent shall immediately cease and desist from engaging in the business of securities and insurance in Iowa. Iowa Code 502.412(3) and 522B.17.

Dated this 21ST day of October, 2025.



DOUGLAS M. OMMEN
Iowa Insurance Commissioner

Copy to:

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ATTORNEYS FOR THE DIVISION

William Jack Berg
William Jack Berg Inmate #08213-511
FCI Marion
Federal Correctional Institution
P.O. Box 1000
Marion, IL 62959
And
1040 NW Lexi Lane
Waukegan, IA 50263
RESPONDENT

CERTIFICATE OF SERVICE

The undersigned certifies that the foregoing instrument was served upon all parties to the above cause, or their attorney, at their respective addresses disclosed on the pleadings on October 21, 2025.

By: ☒ First Class Mail ☐ Personal Service
☒ Restricted certified mail, return receipt ☒ Email
☐ Certified mail, return receipt ☐

Signature: Brooke Hohn
Brooke Hohn

**IN THE IOWA ADMINISTRATIVE HEARINGS DIVISION
CENTRAL PANEL BUREAU**

IN THE MATTER OF

WILLIAM JACK BERG,

Respondent.

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DIAL Case No. 26IID0009
Division Case No. 120695

**PROPOSED DECISION ON
MOTION FOR DEFAULT**

Statement of the Case

On September 23, 2025, the Iowa Insurance Division (IID) filed a Motion for a Default Order in this matter. More than 10 days have now passed and the Respondent has not filed any resistance. IRCP 1.431(4).

Background

Berg was licensed in the state of Iowa as a resident insurance producer from February 1, 2008, until his license expired on June 30, 2024. On July 15, 2025, IID filed a Statement of Charges (SOC) against the Respondent. The Respondent previously consented to service of process via the Commissioner of Insurance, Douglas Ommen, who was served with the SOC and a Notice of Hearing (NOH) on July 15, 2025.

In addition, pursuant to 191 IAC 3.5, the SOC and NOH were sent via restricted certified mail to the Respondent at an address he had previously provided to the IID. On July 18, 2025, the United States Postal Service tracking indicated the mailing was "forwarded." On July 28, 2025, the mailing was returned to the IID marked, "Return to Sender, Unable to Forward." On July 16, 2025, the SOC and NOH was also sent via restricted certified mail to Federal Correction Institution Marion where Berg is currently in federal custody. On July 19, 2025, the mailing was successfully delivered to FCI Marion. The mailing was signed for by "C. Howell" with the recipient's name listed as "William Jack Berg Inmate." Service was therefore accomplished on the Respondent per 191 IAC 3.5(1).

No Answer has been filed and more than 20 days have passed since service was accomplished. 191 IAC 3.5(3). No extensions of time to file and Answer has been requested or granted. Based upon the Respondent's failure to participate by filing and answer within the required time, the IID seeks a default order. 191 IAC 3.22(2).

Findings and Conclusions

Respondent is in DEFAULT based upon his failure to timely file an Answer. The IID's motion is GRANTED. The matter is dismissed and there shall be no further hearings of any kind.

Due to the Respondent's default:

- 1) Respondent's resident insurance producer license is hereby revoked effective immediately. Iowa Code § 522B.11.
- 2) Respondent is permanently barred on any Iowa securities registration or other involvement or participation by the respondent in the securities industry - precluding him from applying for or being registered as a securities agent or investment advisor representative. Iowa Code § 502.412;
- 3) Respondent shall immediately cease and desist from engaging in the business of securities and insurance in Iowa. Iowa Code §§ 502.412(3) and 522B.17.

IT IS SO ORDERED.

Dated this 7th day of October, 2025.



Thomas J. Augustine
Administrative Law Judge

CC:

William Jack Berg Inmate # 08213-511 FCI Marion Federal Correctional Institution P.O.
Box 1000 Marion, IL 62959

Zebulon Black, Colin Grace, Attorneys for IID (By AEDMS)

Brooke Hohn, IID (By AEDMS)

REQUEST TO REOPEN

Default decisions or decisions rendered on the merits after a party has failed to appear or participate constitute final division action unless one of the following occurs:

- 1) the presiding officer otherwise orders,
- 2) a motion to vacate the default decision is filed within 15 days after the date of notification or mailing of the decision in accordance with rule 191—3.12(17A), or
- 3) an appeal to the commissioner of a proposed default decision is filed in accordance with rule 191—3.27(17A).

A motion to vacate must be filed and served on all parties and state all facts relied upon by the moving party which establish that good cause existed for that party's failure to appear or participate at the contested case proceeding. Each fact so stated must be substantiated by at least one sworn affidavit of a person with personal knowledge of each such fact, which affidavit(s) must be attached to the motion.

The time for further appeal of a decision for which a timely motion to vacate has been filed is stayed pending a decision on the motion to vacate.

A motion to vacate shall be granted only when it is timely filed, is properly substantiated, and demonstrates good cause for the party's failure to appear or participate. The burden of proof as to good cause is on the moving party. Adverse parties shall have ten days to respond to a motion to vacate. Adverse parties shall be allowed to conduct discovery as to the issue of good cause and to present evidence on the issue prior to a decision on the motion, if a request to do so is included in that party's response. 191 IAC 3.22(3)-(5).

Case Title: IN THE MATTER OF WILLIAM JACK BERG (120695)

Case Number: 26IID0009

Type: Order - Abandonment/Default

IT IS SO ORDERED.

A handwritten signature in black ink, appearing to be 'TA' with a stylized flourish extending to the right.

Thomas Augustine, Administrative Law Judge